

Parameter-Efficient Learning (PEL) for Speech and Language: Adapters, Prompts, and Reprogramming



Dr. Huck Yang Amazon Alexa Speech

Section 1 (PEL foundation + theory) 1hr



Dr. Pin-Yu Chen IBM Research

Section 2 (model reprogramming) 1hr



Prof. Hung-Yi Lee NTU

Section 3 30 min + 30 min



Cheng-Han Chiang NTU

Section 3 (a)



Kai-Wei Chang NTU

Section 3 (b)

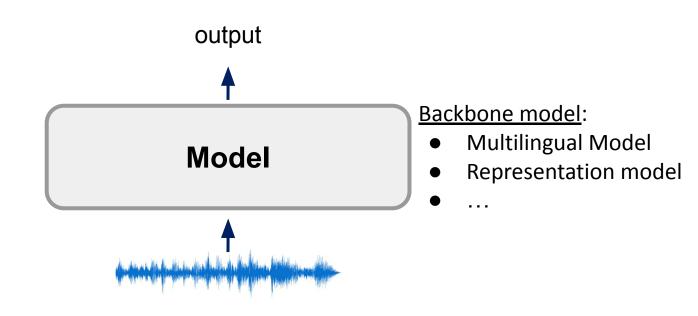
Parameter-Efficient Learning for Speech Processing

Presenter: Kai-Wei Chang (National Taiwan University)

Outline

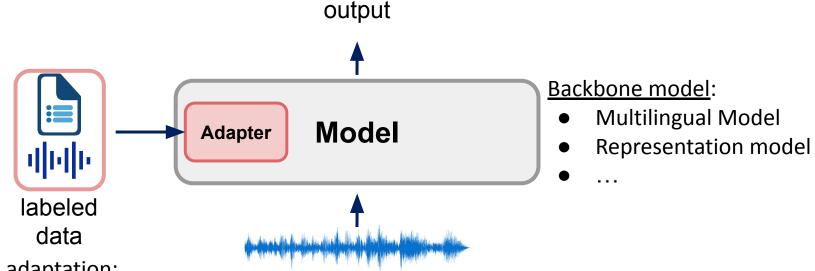
- Adapter Tuning for Speech Processing
 - Language Adaptation
 - Adapters for self-supervised speech models
- Prompting for Speech Processing
 - Prompting Speech Decoding Model
 - Prompting Speech Generation language Model

Adapters Tuning for Speech Processing



Adapters Tuning for Speech Processing

Use labeled data to fine-tune adapters



Domain adaptation:

- Language adaptation
- Speaker adaptation
- Task adaptation

• ...

6

Language Adaptation

- 1. Speech Recognition
- 2. Speech Translation

Adapters for SSL Model

- 1. Continual Learning
- 2. Task Adaption

Language Adaptation

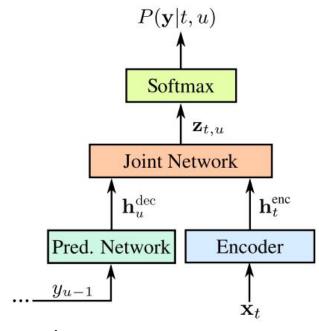
- Multilingual speech recognition system
- Multilingual speech translation system

Language Adaptation

- Multilingual speech recognition system
- Multilingual speech translation system

- RNN-T Multilingual ASR
- Backbone RNN-T is trained on all languages (9 Indic Languages)

Table 1: Number of utterances in train and test sets										
Language Train Test Language Train Test										
Hindi	16M	6.3K	Tamil	1.8M	5.5K					
Marathi	4.1M	6.1K	Malayalam	9.2K						
Bengali	3.9M	3.6K	Kannada	1.2M	1.1 K					
Telegu	2.4M	2.7K	Urdu	443K	511					
Gujarati	2.2M	7.5K	Total	33M	43K					

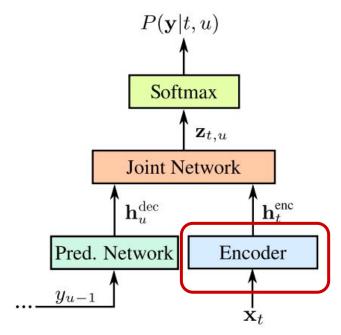


RNN-Transducer

Kannan, Anjuli, et al. "Large-Scale Multilingual Speech Recognition with a Streaming End-to-End Model." *Proc. Interspeech 2019* (2019): 2130-2134. (INTERSPEECH 2019)

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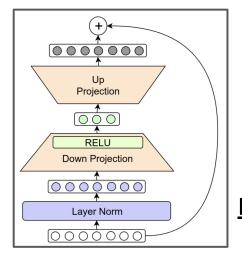
RNN-Transducer

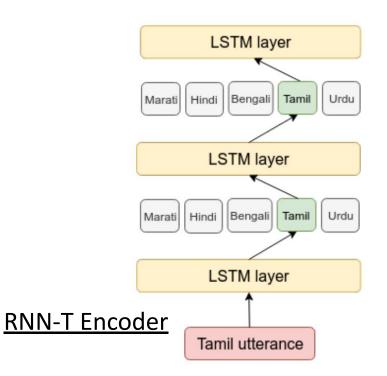
Kannan, Anjuli, et al. "Large-Scale Multilingual Speech Recognition with a Streaming End-to-End Model." *Proc. Interspeech 2019* (2019): 2130-2134. (INTERSPEECH 2019)

RNN-T - Multilingual ASR

Fine-tune adapter modules for each

language





Word Error Rate (↓)

Exp	Model	Hindi	Marathi	Beng.	Telugu	Gujarati	Tamil	Mala.	Kann.	Urdu	Avg
A0	Multilingual RNN-T	18.5	26.2	43.9	49.3	55.3	40.1	69.7	60.8	70.1	48.2
A1	A0 + language vector	16.0	17.6	22.8	23.5	24.3	22.2	46.6	20.5	17.3	22.8
A2	A0 + sampling	22.3	29.8	41.1	45.9	43.9	37.7	64.6	55.4	48.1	43.2
A3	A1 + sampling @ 60K	18.7	18.8	24.0	24.6	24.3	25.0	47.8	21.4	17.7	24.7
A4	A1 + sampling	16.2	17.8	24.1	25.1	24.2	22.9	48.9	24.6	20.4	24.9
A5	1 0		17.1	21.5	23.2	24.0	21.6	45.8	18.7	16.0	22.6

language vector: concatenate a one-hot vector at the input of encoder network

Word Error Rate (↓)

Exp	Model	Hindi	Marathi	Beng.	Telugu	Gujarati	Tamil	Mala.	Kann.	Urdu	Avg
A0	Multilingual RNN-T	18.5	26.2	43.9	49.3	55.3	40.1	69.7	60.8	70.1	48.2
A ₁	A1 A0 + language vector		17.6	22.8	23.5	24.3	22.2	46.6	20.5	17.3	22.8
A2	A0 + sampling	22.3	29.8	41.1	45.9	43.9	37.7	64.6	55.4	48.1	43.2
A3	A1 + sampling @ 60K	18.7	18.8	24.0	24.6	24.3	25.0	47.8	21.4	17.7	24.7
A4	A1 + sampling	16.2	17.8	24.1	25.1	24.2	22.9	48.9	24.6	20.4	24.9
A5	A5 A1 + adapters		17.1	21.5	23.2	24.0	21.6	45.8	18.7	16.0	22.6

- The performance is further improved with adapters
- the adapter parameters for each language is only 2% (2.5M parameters)
 of the backbone model (120M parameters)

Language Adaptation

- Multilingual speech recognition system
- Multilingual speech translation system

Adapter for Multilingual Speech Translation

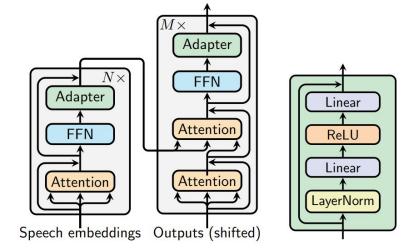
 Language-specific adapters can enable a fully trained multilingual ST model to specialize in multiple language pairs

Backbone: Transformer model

Encoder-Decoder architecture

Encoder: 12 layers

Decoder: 6 layers



Le, Hang, et al. "Lightweight Adapter Tuning for Multilingual Speech Translation." *Proceedings of the 59th Annual Meeting of the Association for Computational Linguistics and the 11th International Joint Conference on Natural Language Processing (Volume 2: Short Papers).* 2021. (ACL2021)

Adapter for Multilingual Speech Translation

												BLEU Score. The higher, the better				r		
	Dict	D	d	Adapte ENC	DEC	Fine ENC	tune DEC	# params (M) trainable/total	de	es	fr	it	nl	pt	ro	ru	avg	
							Trai	ining data (hours)	408	504	492	465	442	385	432	489		
$\begin{bmatrix} 1\\2 \end{bmatrix}$	mono multi		-	-	-	-	-	8×31.1/8×31.1 32.1/32.1	22.16 22.37	30.42 30.40	27.92 27.49	22.92 22.79	24.10 24.42	27.19 27.32	21.51 20.78	14.36 14.54	23.82 23.76	Bi. Multi.
3 4	multi multi	256	64 64	- ✓	√ ✓	-	-	8×0.2/33.7 8×0.6/36.9	22.32 22.75	30.50 31.07	27.55 28.03	22.91 23.04	24.51 24.75	27.36 28.06	21.09 21.20	14.74 14.75	23.87 24.21	
5 6	multi multi		128 128	- ✓	√ √	-	-	8×0.4/35.3 8×1.2/41.7	22.45 22.84*	30.85 31.25*	27.71 28.29	23.06 23.27*	24.57 24.98*	27.52 28.16*	20.93 21.36*	14.57 14.71	23.96 24.36	
7 8	multi multi		-	-	-	- ✓	√ ✓	8×14.6/8×32.1 8×32.1/8×32.1	23.49 23.13*	31.29 31.39*	28.40 28.67	23.63 * <u>23.80</u> *	25.51 25.52*	28.71 29.03*	21.73 22.25*	15.22 15.44*	24.75 24.90	

Refine a multilingual speech translation system with adapters

- Speech to text translation system (from English to 8 target languages)
- Bilingual ST model > Multilingual ST model

Adapter for Multilingual Speech Translation

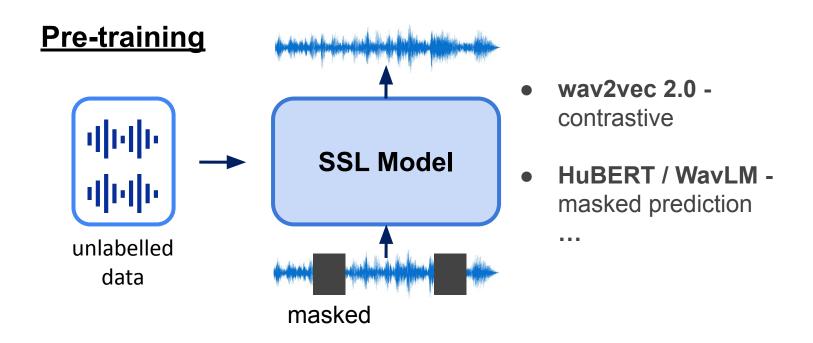
											⊢ BLEU Score. The higher, the better ├──							
				Adapte	r	Fine	etune	# params (M)							, ,			
	Dict	D	d	ENC	DEC	ENC	DEC	trainable/total	de	es	fr	it	nl	pt	ro	ru	avg	
							Tra	ining data (hours)	408	504	492	465	442	385	432	489		
1	mono		-	-	-	-	-	8×31.1/8×31.1	22.16	30.42	27.92	22.92	24.10	27.19	21.51	14.36	23.82	_
2	multi		-	-	-	-	-	32.1/32.1	22.37	30.40	27.49	22.79	24.42	27.32	20.78	14.54	23.76	Multi.
3	multi		64	-	✓	-	-	8×0.2/33.7	22.32	30.50	27.55	22.91	24.51	27.36	21.09	14.74	23.87	
4	multi	256	64	\checkmark	\checkmark	-	-	8×0.6/36.9	22.75	31.07	28.03	23.04	24.75	28.06	21.20	14.75	24.21)
5	multi		128		✓	_	-	8×0.4/35.3	22.45	30.85	27.71	23.06	24.57	27.52	20.93	14.57	23.96	
6	multi		128	✓	✓	-	-	8×1.2/41.7	22.84*	31.25*	28.29*	23.27*	24.98*	28.16*	21.36*	14.71	24.36	Adapter
7	multi			_	_	_	✓	8×14.6/8×32.1	23.49	31.29	28.40	23.63	25.51	28.71	21.73	15.22	24.75	
8	multi		-	-	-	✓	✓	8×32.1/8×32.1	23.13*	<u>31.39</u> *	<u>28.67</u> *	<u>23.80</u> *	<u>25.52</u> *	<u>29.03</u> *	<u>22.25</u> *	<u>15.44</u> *	<u>24.90</u>	Fine-tune

Refine a multilingual speech translation system with adapters

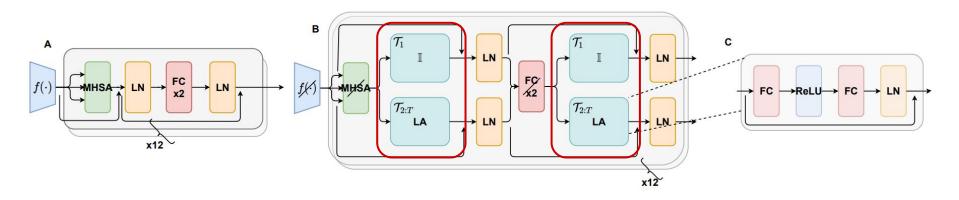
- Multilingual ST are further refined on each language pair with adapter
- Adapter: 1.2M parameters / Backbone model: 30M parameters

Self-supervised Learning Speech Model Continual Learning

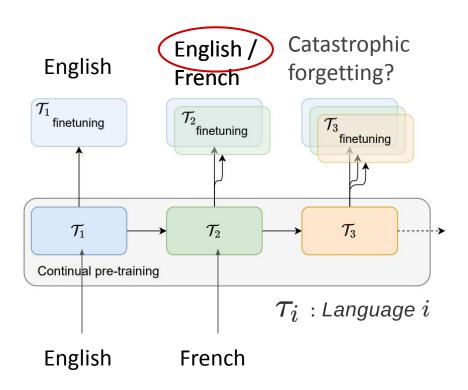
Task Adaptation



Wav2Vec 2

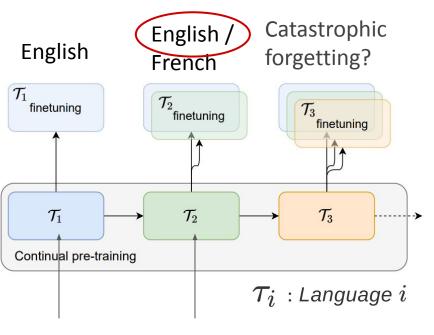


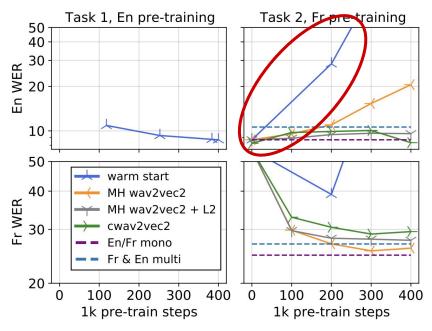
- Original Wav2Vec2 is pre-trained on English dataset
- Adapters for different languages : French, Spanish
- Continual pre-train the Wav2Vec 2 but only update the adapters



WER: The lower, the better

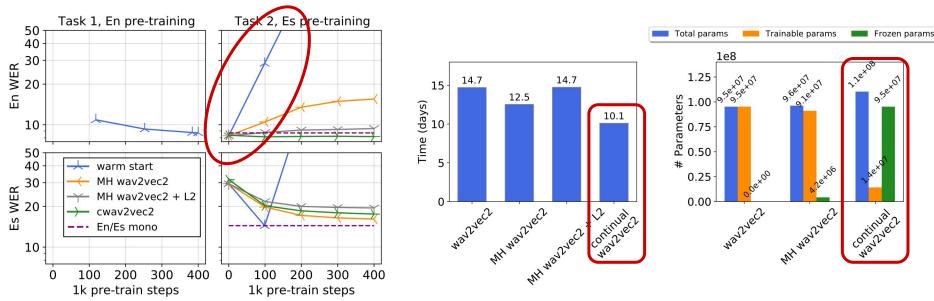
Catastrophic forgetting





English French

warm start: Continuing training the wav2vec 2 on new language cwav2vec 2: Tuning the adapter for each language



Same trend can be observed when adapting to Spanish speech.

Adapters saves computation time and storage

Self-supervised Learning Speech Model Continual Learning

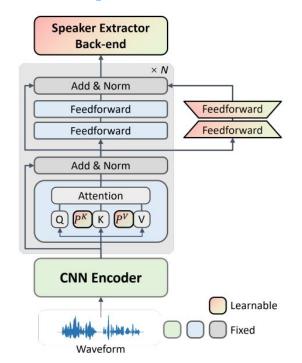
Task Adaptation

Adapters for Speaker Verification

Downstream model: Speaker extractor model

Self-supervised Speech model

Tuning adapters and speaker extractor model on top of speech representation model



Mix-And-Match Adapter:

Prefix-tuning + Adapter

Adapters for Speaker Verification

Pre-trained Model: WavLM BASE+, Back-end: MHFA									
Full fine-tuning	94.7M+2.2M	0.66							
Full fine-tuning [LM-FT] [11]	94.7M+2.2M	0.59							
Fixed	0.0M + 2.2M	1.45							
Bottleneck Adapter	4.7M + 2.2M	0.78							
Prefix Tuning	3.6M + 2.2M	1.15							
MAM Adapter	5.4M + 2.2M	0.72							
MAM Adapter [LM-FT]	5.4M + 2.2M	0.61							

Fine-tuning

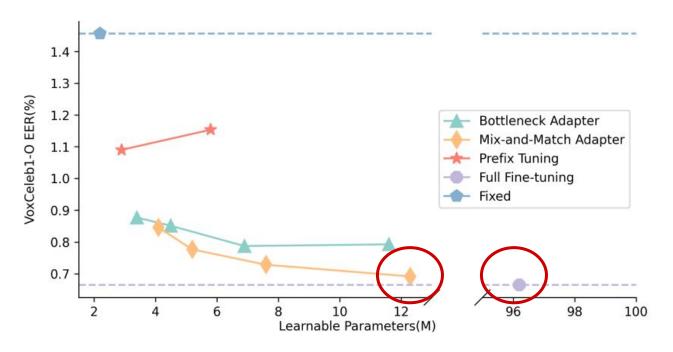
Fixed

Mix-And-Match Adapter

LM-FT: Large margin fine-tuning

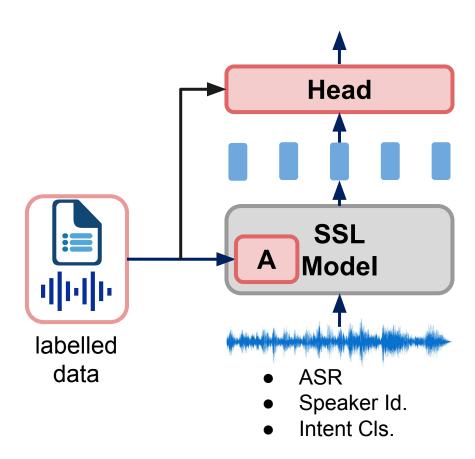
Equal Error Rate (EER). The lower, the better

Adapters for Speaker Verification

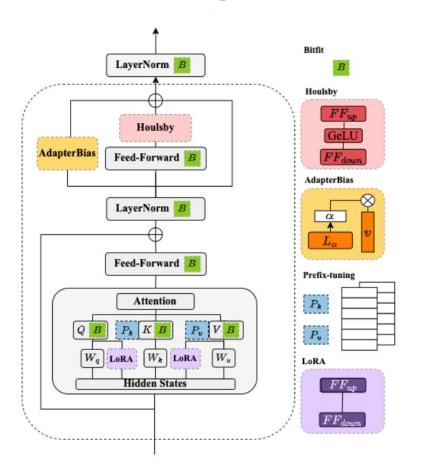


Fusing prefix tuning and bottleneck adapters can perform comparable to full fine-tuning with much fewer parameters (about 8 times fewer parameters)

Adapters for Multiple Tasks



Adapters for Multiple Tasks



- BitFit: Tuning the bias
- AdapterBias: Using a neural networks to generate bias
- Houlsby: Normal down proj., up proj. adapter
- Prefix-tuning
- LoRA

Chen, Zih-Ching, et al. "Exploring efficient-tuning methods in self-supervised speech models." 2022 IEEE Spoken Language Technology Workshop (SLT). IEEE, 2023. (SLT2022)

Adapters for Multiple Tasks

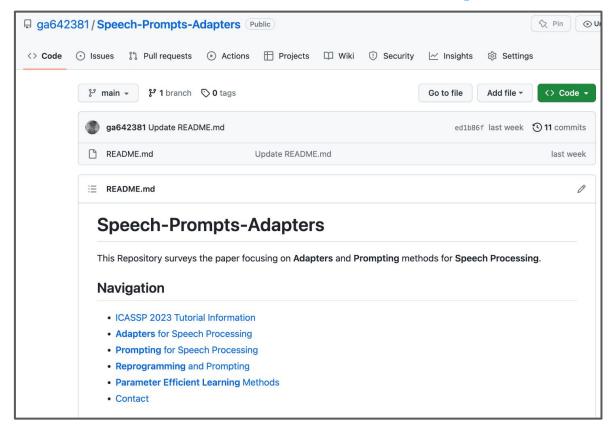
Backbone: HuBE	<u>RT</u>		neme ognition	•	Diarization Diarization	Slot Filling	Intent Classification	Keyword Spotting	
Method	Params	ASR	PR	SD	SID	SF	IC	KS	
FT	94.7M	6.35	2.45	9.32	66.48	84.87	99.10	95.87	
Baseline	0	7.09	7.74	7.05	64.78	86.25	96.39	95.32	
Houlsby	0.60M	5.88	3.00	4.00	87.71	85.87	99.60	97.17	
AdapterBias	0.02M	5.54	4.19	5.48	77.38	86.60	99.50	97.30	
BitFit	0.10M	9.34	4.23	5.13	83.68	87.40	99.50	97.33	
LoRA	0.29M	6.94	8.74	7.39	62.90	86.25	96.57	96.59	
Prefix	0.10M	6.56	4.18	8.17	71.87	85.85	99.31	97.05	

- HuBERT + Adapters: The representation of the last layer is fed into the downstream head.
- Fine-tuning only achieve the best performance on Phoneme Recognition
- Different adapter shows different characteristics

Adapter Summary

- Adapters for language adaptation
 - multilingual speech recognition system
 - multilingual speech translation system
- Adapters in Self-supervised speech models
 - continual learning
 - task adaptation

More adapter works...

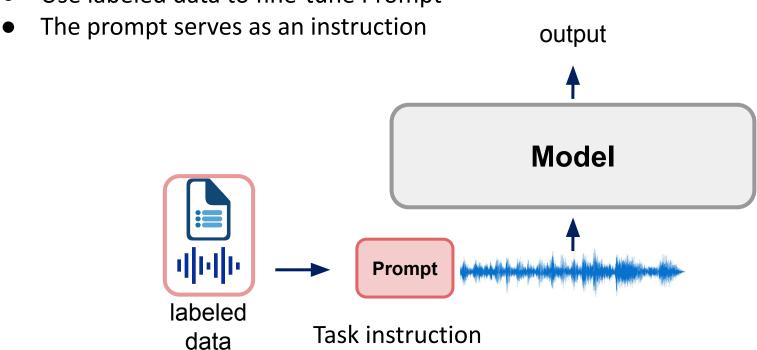




Prompting

Prompting for Speech Processing

Use labeled data to fine-tune Prompt



Prompting

Prompt Speech Decoding Model

1. Prompting Whisper

Prompt Speech Generation Model

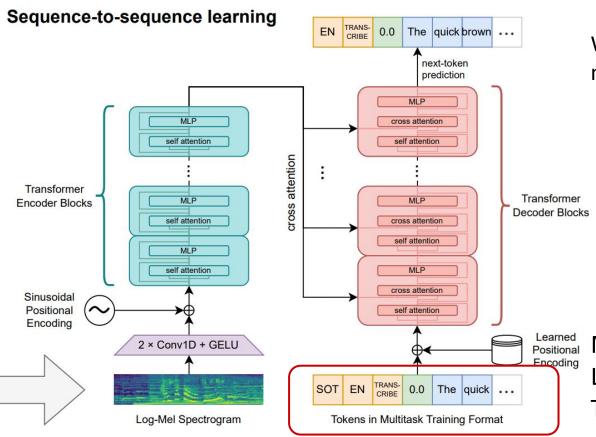
 Prompting Generative SpeechLM

Prompting

Speech Decoding Model

 Prompting Whisper for multiple new tasks

Whisper model with multitask learning



Whisper is supervised trained in a multitask learning manner

- LID
- Speech recognition
- Speech translation

Multitask learning

Language tags: <|en|> <|zh|>,...

Task tags: <|asr|> <|st|>

38

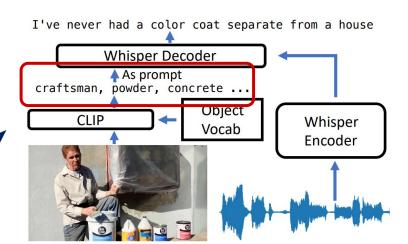
Prompt design for whisper model to perform multiple tasks

Task	Language(s)	Default prompt	Our proposed prompt	Improvement
AVSR	En	< sot >< en >< asr >	<pre>< sop >CLIP retrie.<default> < sot >< zh >< en >< asr > < sot >< ru >< asr ></default></pre>	10%
CS-ASR	Zh+En	< sot >< zh >or< en >< asr >		19%
ST	En→Ru	< sot >< ru >< st >		45%

- AVSR: Audio visual speech recognition
- CS-ASR: Code-switched ASR
- ST: En-> X Speech translation

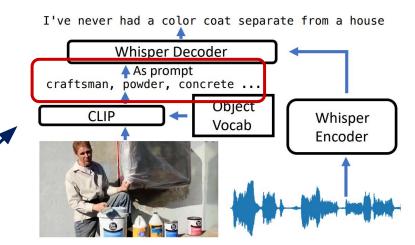
Audio Visual Speech Recognition

Providing Whisper with visually-conditioned prompt



Audio Visual Speech Recognition

Providing Whisper with visually-conditioned prompt



Visual information helps when Whisper model is not large



Code Switched Speech Recognition

Dataset	Dataset Lang. prompt.		En WER	CS MER	Total MER	-
ASCEND	< zh > < en > default concat	16.3 90.4 17.0 16.6	93.1 31.5 31.8 31.8	33.1 80.1 26.6 25.0	32.6 78.9 <u>22.1</u> 21.3	default concat
SEAME	< zh > < en > default concat	26.3 99.3 27.1 25.9	97.4 33.8 85.5 <u>44.7</u>	43.3 86.9 43.2 38.4	46.7 82.2 45.3 36.9	default concat

- default: let Whisper perform LID first then perform speech recognition
- concat: <|sot|><|en|><|zh|><|asr|>

Zero-shot En-X speech translation

Whisper has never perform ST on these language pairs.

Category	Category Approach		En→Ru	En→Fr
Supervised	w2v2+mBART [30] E2E Transformer [35]	32.4 27.2	20.0 15.3	23.1 11.4
Unsupervised	Chung et al. [36] Cascaded [30] E2E (w2v2+mBART) [30]	22.0 23.8	- 10.0 9.8	12.2 15.4 15.3
Zero-shot	Escolano et al. [33] T-Modules* [34] Whisper w/ default prompt Whisper w/ our prompt	6.8 23.8 0.4 18.1	8.8 12.8	10.9 32.7 0.8 13.1

- default: <|sot|><|ru|><|st|>
- proposed prompt: <|sot|><|ru|><|asr|>

Prompting

Speech Generation Language Model

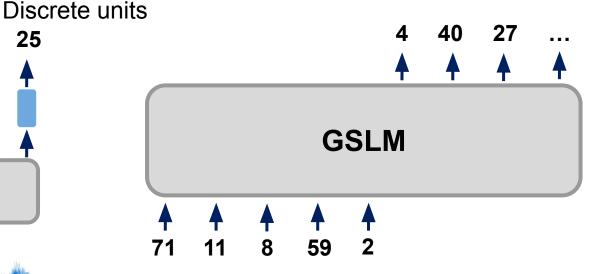
Prompting Generative
 Speech LM for multiple tasks

Generative Spoken Language Model (GSLM)

Prompting Generative Spoken Language Model (GSLM)

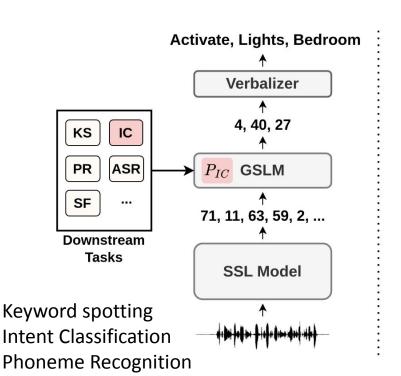
Chang, Kai-Wei, et al. "An exploration of prompt tuning on generative spoken language model for speech processing tasks." *(INTERSPEECH2022)*

8 59 25 Quantize HuBERT



Language modeling on discrete units

SpeechPrompt: Prompting Speech LM



ASR

4: Activate 40: Lights 27: Bedroom Output 1 Conditional Generation [EOS]**GSLM** (Fixed) e(71)e(11)e(63)e([SEP]) e(4)e(40)e(27)了 27 [SEP]**Discrete Units Prompts**

Prompts are trainable parameters

Slot Filling

Chang, Kai-Wei, et al. "An exploration of prompt tun

model for speech processing tasks." (INTERSPECO)

Chang, Kai-Wei, et al. "An exploration of prompt tuning on generative spoken language model for speech processing tasks." (INTERSPEECH2022)

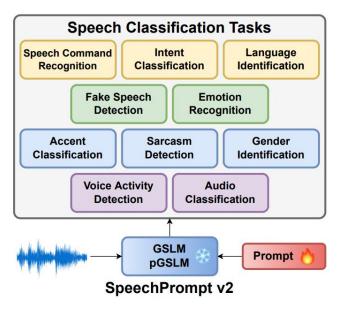
SpeechPrompt: Prompting Speech LM

- Speech classification tasks
 - Keyword Spotting (KS)
 - Intent Classification (IC)
- Sequence generation tasks
 - Speech Recognition (ASR)
 - Slot Filling (SF)

Scenarios	K	S	IC		
Scenarios	Acc ↑	#	Acc ↑	#	
HuBERT-PT	95.16	0.08M	98.40	0.15M	
FT-LM	94.03	151M	97.63	151M	
FT-DM	96.30	0.2M	98.34	0.2M	
CPC-PT	93.54	0.05M	97.57	0.05M	
FT-LM	93.48	151M	95.62	151M	
FT-DM	91.88	0.07M	64.09	0.07M	

Scenarios	ASR		SF		#
Scenarios	WER↓	CER↓	F1 ↑	CER↓	· #
HuBERT-PT	34.17	26.14	66.90	59.47	4.5M
FT-LM	26.19	16.80	80.58	40.15	151M
FT-DM	6.42	1.48	88.53	25.20	43M
CPC-PT	59.41	37.12	65.25	60.84	4.5M
FT-LM	35.61	17.90	79.34	42.64	151M
FT-DM	20.18	5.25	71.19	49.91	42.5M

SpeechPrompt: Prompting Speech LM

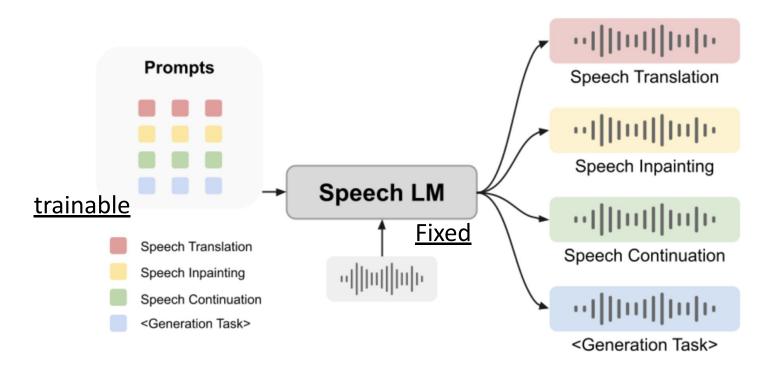


Explore various Speech LM

_		_		_			
Task	Metric	Dataset	Language	GSLM	GSLM+	pGSLM	pGSLM+
		Google SC v1	En	94.5	94.6	94.3	94.7 (-3.9)
SCR	ACC (†)	Grabo SC	Du	92.4	92.7 (-6.2)	17.5	19.6
		Lithuanian SC	Lt	93.2	95.5 (+3.7)	90.9	79.5
	l	Arabic SC	Ar	99.7	100.0 (+1.1)	85.6	92.6
IC	ACC (†)	Fluent SC	En	97.2	97.3	98.1	98.2 (-1.5)
LID	ACC (†)	Voxforge	En, Es, Fr De, Ru, It	90.9	94.2 (-5.6)	81.8	80.4
FSD	EER (↓)	ASVspoof	En	18.5	13.5	13.1 (+10.6)	18.3
ER	ACC (†)	IEMOCAP	En	42.1	44.3	49.9	50.2 (-29)
AcC	ACC (†)	AccentDB	En	78.9	83.4	86.5	87.1 (-12.4)
SD	F1 (†)	MUStARD	En	55.0	77.8	74.4	78.7 (+13.1)
SD		MUStARD++	En	74.0	75.2 (+10)	52.7	58.2
GID	F1 (†)	VoxCeleb1	En	86.2	87.3	91.6 (-6.7)	86.2
VAD	ACC (†)	Google SC v2 & Freesound	En	96.6	96.9	98.3 (-0.5)	98.1
AuC	ACC (†)	ESC-50	*	9.0	37.5 (-59.5)	20.3	27.0
	\$17.				, -,		

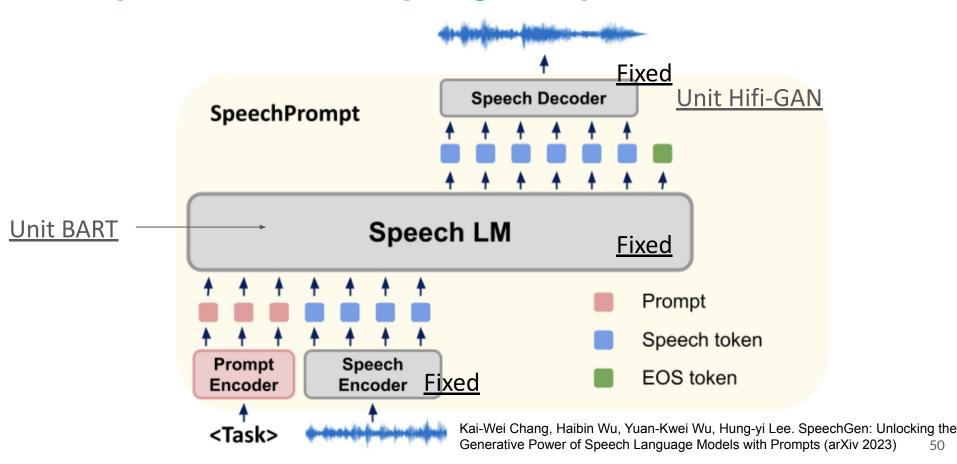
- outperform / competitive with SOTA: 10 / 14 tasks
- trainable parameters: 0.1 M parameters

SpeechGen: Prompting for Speech Generation



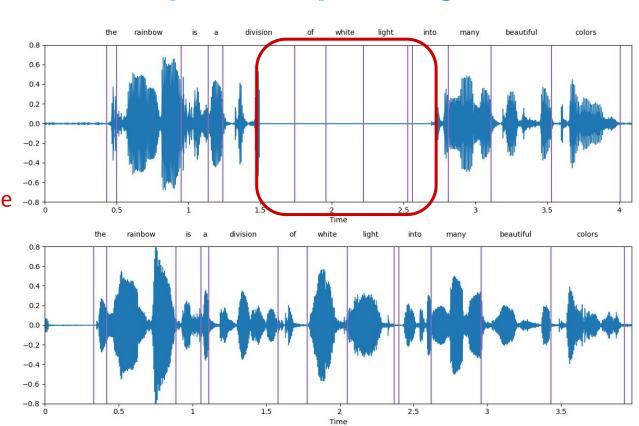
SpeechGen: Prompting for Speech Generation

50



SpeechGen for Speech Inpainting

The rainbow is a division of white light into many beautiful colors



SpeechGen for Speech Continuation

Speech Continuation Result

Childless parents widows and helpless orphans broken and controlled by the master and sentence pursuit life apt to paradise.

But these king's witnesses were also put at times into the press yard and charged with the service available on a second charge to them.

And the obvious bulk of the package which he intended to bring to work was confirmed

Black text: seed segment

Red text: Continued by SpeechGen

- Grammatically coherent
- Semantic related

Summary

- Parameter efficient tuning: adapters and prompting
- Adapters for adaptation
 - Language adaptation (e.g. multilingual ASR)
 - Task adaptation (e.g. representation learning)
- Prompting speech model for new tasks
 - Prompting speech decoding models (e.g. Whisper)
 - Prompting speech generative language models (e.g. GSLM, unit BART)
- Learn more: https://github.com/ga642381/Speech-Prompts-Adapters